

05/08/01

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Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Attorney Docket No.	4191-00025	Total Pages	80+
First Named Inventor or Application Identifier			
Kurt M.A. Badeau			
Express Mail Label No.	E1661050850US		

**ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 6
3]
4. Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

18. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

Insert Customer No. or Attach bar code label here

or ☒ Correspondence address below.

NAME	Michael E. Taken (Reg. No. 28,120)		
ADDRESS	ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue Suite 1100		
CITY	Milwaukee	STATE	Wisconsin
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		ZIP CODE	53202-4178
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Page 1

The PTO did not receive the following listed items(s) postcardThe PTO did not receive the following listed items(s) postcard

CERTIFICATE OF EXPRESS MAIL

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL POST OFFICE TO ADDRESSEE in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the 8th day of May, 2001. The Express Label is EL661050850US.

Jo Ann Kuczynski
Jo Ann Kuczynski

5-8-2001

POSTAGE WILL BE PAID BY ADDRESSEE

05/08/01

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PTO/SB/17
10/97)

FEE TRANSMITTAL

Approved for use through 9/30/98
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

COMPLETE IF KNOWN

Application Number	
Filing Date	
First Named Inventor	Kurt M.A. Badeau
Group Art Unit	
Examiner Name	
Attorney Docket Number	4191-00025

Total Amount of Payment (S) 1,496.00

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account Number 01.2010Deposit
Account Name ANDRUS, SCEALES, STARKE & SAWALL

- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing Of the Notice of Allowance, 37 CFR 1.311(b)

- 3.
- ☐
- Payment Enclosed:
-
- ☐
- Check
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION (fees effective 12/29/99)

1. Filing Fee

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Description	Fee		
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$710.00)

2. Claims

Extra	Fee from below	Fee Paid
Total claims	37 - 20 = 17 X 18 =	306
Independent	6 - 3 = 3 X 80 =	480
Multiple Dependent	X =	

Large Entity Small Entity Fee

Fee Code (\$)	Fee Code (\$)	Description	Fee	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$786.00)

FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge-late filing fee or oath
127	50	227	25	Surcharge-late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for response within first month
116	390	216	195	Extension for response within second month
117	890	217	445	Extension for response within third month
118	1,390	218	695	Extension for response within fourth month
128	1,890	228	945	Extension for response within fifth month
119	310	219	155	Notice of appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive unavoidably abandoned application
141	1,240	241	620	Petition to revive unintentionally abandoned application
142	1,240	242	620	Utility issue fee (or reissue)
143	440	243	300	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Statement
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))
Other fee (specify) _____				
Other fee (specify) _____				
SUBTOTAL (3)			(\$)	

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Type or
Printed name Michael E. Taken
Andrus, Scales, Starke & Sawall, LLP

COMPLETE (if applicable)

Signature *Michael E. Taken*

Registration Number 28,120

Date 5/8/01

Deposit Account
User ID

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		Kurt M.A. Badeau
	Title	Dual Section Exhaust Aftertreatment Filter and Method	
	Atty. Docket Number		4191-00025

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

May 8, 2001

Date

Michael E. Taken

Signature

Michael E. Taken

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).**